

Hybrid Plan Transfer Request

STATE OF CONNECTICUT ALTERNATE RETIREMENT PROGRAM (ARP)

Instructions

You should use this form to transfer an eligible amount from the Alternate Retirement Program with Prudential to transfer to the SERS Hybrid Plan.

Prior to filing this form, you should have already applied to the Retirement Services Division to transfer from ARP to the SERS Hybrid Plan and received a cost estimate letter from ARP stating the amount of the full actuarial cost of joining the SERS Hybrid Plan. You must submit a copy of that letter with this form.

Please print using blue or black ink. Keep a copy of this form for your records. Please fax your completed request along with a copy of the document verifying the eligible buy back amount to **1-866-439-8602** or mail to:

Prudential
30 Scranton Office Park
Scranton, PA 18507-1789

Questions?
Call 1-844-505-SAVE
for assistance.

About You

Prudential Plan number Sub plan number Social Security number
0 | 1 | 0 | 0 | 8 | 2

First name MI Last name

Daytime telephone number
 - -
area code

Are you still employed by the employer sponsoring the plan? Yes No

Payee Information

Pension Provider Name and Address of Retirement System:

State Treasurer for Retirement Fund
ATTN: Purchasing Unit
OSC Retirement Services Division Purchasing Unit
55 Elm Street
Hartford, CT 06106-1775

TOTAL ACCOUNT VALUE OR PARTIAL AMOUNT \$, . (For partial amounts, the funds will be prorated across all available contribution types and investments.)

Your Authorization

I certify that all information on this form is accurate. I also certify that the transfer amount is no greater than my eligible buy back amount as disclosed to me. I have attached a copy of the document verifying the eligible buy back amount.

If I am redeeming amounts deposited in Connecticut Stable Value Fund, I acknowledge that this redemption may be completed over a period of up to 120 days after 12/14/2018 and will be effectuated by the manager of the Connecticut Stable Value Fund in a manner that will best protect the interests of all fund investors.. I understand that if I am enrolled in GoalMaker/Auto Rebalance and any portion of my account is invested in the Connecticut Stable Value Fund, GoalMaker/Auto Rebalance may be removed from my account. I understand that if GoalMaker/Auto Rebalance is removed, I may reenroll in GoalMaker/Auto Rebalance after the transfer has been processed.

If there are investment options available through your retirement account that are subject to the fund's market timing policies, you may be subject to restrictions or incur fees if you engage in excessive trading activity in those investments. You may wish to review the fund prospectus or your retirement account's market timing policy prior to submitting this transaction request. If a fee applies to the transaction, you will be able to view the details after the transaction is processed by logging on to the retirement internet site at www.prudential.com/online/retirement.

 X _____ Date / /
Participant's signature