

**2020-1 Amendment  
to the  
State of Connecticut Section 403(b) Plan**

Pursuant to Section 9.01 of the State of Connecticut Section 403(b) Plan (the “Plan”), the State hereby amends the Plan as follows:

(1) Section 5.04, Commencement of Distribution Following Severance from Employment, is amended by the addition of the following sentence after the second sentence therein:

Notwithstanding the foregoing, effective for Participants who reach age 70 ½ after December 31, 2019, such distribution may commence as soon as administratively practicable following such Severance from Employment, but in no event later than April 1 of the calendar year following the calendar year in which he or she attains age 72.

(2) Section 5.05(a) is amended by the addition of the following sentence at the end thereto:

Effective for Participants who reach age 70 ½ after December 31, 2019, the reference to age seventy and one-half (70 ½) in the preceding sentence shall be replaced with age seventy two (72).

(3) Section 6.05, Distributions of Certain Accounts for Purposes of Conversion to Designated Roth Contribution, is amended by the addition of the following at the end thereto:

Contributions and withdrawals of in-Plan Roth rollover contributions will be credited and debited to an in-Plan Roth rollover account maintained for each Participant. The Plan will maintain a record of the amount of in-Plan Roth rollover contributions in each Participant’s account. No contributions other than in-Plan Roth rollover contributions and properly attributable earnings will be credited to each Participant’s in-Plan Roth rollover account.

A Participant’s election to make an in-Plan Roth rollover pursuant to this section 6.05 shall be irrevocable.

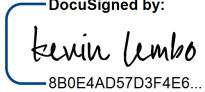
Except for amounts withheld pursuant to a voluntary withholding election by the Participant, if applicable, direct in-Plan Roth rollovers will not be considered distributions from the Plan for purposes of Code section 72(p) or any other Code sections pursuant to rules promulgated by the IRS.

Amounts eligible for in-Plan Roth rollovers will exclude any amounts invested in Participant loans.

Direct in-Plan Roth rollover contributions and earnings thereon will be distributable at such time as the Participant satisfies the distribution eligibility requirements of the

contribution source to which the rollover is attributable. Indirect in-Plan Roth rollover contributions and earnings thereon will be distributable upon the Participant satisfying the distribution eligibility requirements specified in the Plan for rollover contributions.

**OFFICE OF THE STATE  
COMPTROLLER OF THE STATE OF  
CONNECTICUT, ON BEHALF OF  
THE STATE OF CONNECTICUT**

By:  DocuSigned by:  
Kevin Lembo  
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Kevin Lembo

Title: STATE COMPTROLLER

7/10/2020

Date: \_\_\_\_\_