

**2019-1 Amendment
to the
State of Connecticut Deferred Compensation Section 457 Plan**

Pursuant to Section 9.01 of the State of Connecticut Deferred Compensation Section 457 Plan (the “Plan”), the State hereby amends the Plan as follows:

(1) Section 3.07, Suspension of Deferral Election, is amended and restated in its entirety, to remove the reference to a six-month suspension following an unforeseeable emergency or a hardship distribution under the State of Connecticut’s 403(b) Plan, as follows:

In the event that a Participant defers the maximum amount permitted under Section 4.01 during a Plan Year his or her Deferral election shall be suspended for the remainder of said Plan Year; said Deferral election shall again become operative as of the first day of the immediately following Plan Year unless the Participant modifies the Deferral election in accordance with Section 3.05, terminates the Deferral election in accordance with Section 3.06, or the election otherwise terminates in accordance with Section 3.08.

(2) Section 3.08, Deemed Termination of Deferral Election, is amended and restated in its entirety, to remove the reference to a six-month suspension following an unforeseeable emergency or a hardship distribution under the State of Connecticut’s 403(b) Plan, as follows:

Except as provided in Section 3.10, upon a suspension or cessation of Deferrals under the Plan for any reason other than due to having deferred the maximum amount permitted under Section 4.01 during a Plan Year, a Participant shall be deemed to have terminated his or her Deferral election. In order to recommence Deferrals, the Participant must complete a new Participation Agreement in accordance with Section 3.02, which shall not be effective prior to the date on which Deferrals would otherwise be permitted to recommence under the Plan and applicable law.

(3) A new Section 5.09, Distribution of Small Account Balances, is added at the end of Article Five as follows:

If, upon a Participant’s Severance From Employment, or on a periodic basis thereafter, or at the time of any distribution from the Plan, the Participant’s vested Account balance, disregarding the value of any Rollover Contributions (including amounts attributable to Roth rollover contributions), is not in excess of five thousand dollars (\$5,000), the Plan, to the extent permitted by law and applicable regulations, shall make a single lump sum payment to the Participant or Beneficiary entitled to such benefit. Notwithstanding the foregoing, in the event the Participant’s vested Account balance exceeds one thousand dollars (\$1,000) at the time of such distribution, and the Participant does not elect to receive the distribution directly or to have the distribution paid directly to an eligible retirement plan specified by the Participant in a direct rollover in accordance with the provisions of section

6.03 of the Plan, as applicable, then the Plan Administrator will pay the distribution in a direct rollover to an individual retirement account designated by the Plan Administrator.

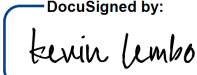
(4) Section 6.04, Failure to Make Election, is amended and restated as follows:

If a Participant or Beneficiary fails to elect a form of distribution after becoming eligible to receive a distribution pursuant to Section 5.01, then the Account shall remain in the Plan until an election is made at a later date, subject to the requirements of Section 5.04 and 5.05 and subject to distribution as provided by Section 5.09.

(5) Subsection (d) of Section 7.02, Special Rules, is amended and restated in its entirety as follows:

(d) If a Beneficiary has not been designated, or a designation is ineffective due to the death of all Beneficiaries prior to the death of the Participant, or the designation is ineffective for any other reason, then the Participant's Account shall be paid to (i) the Participant's Spouse, or (ii) if no Spouse survives the Participant, the Participant's children *per stirpes*, or (iii) if neither a Spouse nor any children survives the Participant, the Participant's estate.

**OFFICE OF THE STATE COMPTROLLER OF
THE STATE OF CONNECTICUT, ON BEHALF
OF THE STATE OF CONNECTICUT**

DocuSigned by:

By: 8B0E4AD57D3F4E6...
Kevin Lembo

Title: STATE COMPTROLLER

8/26/2020

Date: _____